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NO. 2923 P. 1

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Date: November 6, 2003
To: Examiner C. Chin (Group Art Unit 1641)
United States Patent and Trademark Office
Facsimile No. 1-703-872-9306
From: Gary R. Fabian, Reg. No. 33,875 *Gary R. Fabian*
Re: Serial No. 10/626,896 (Our Ref. 0235.06) *11/6/03*
Message: Attached are a Transmittal Letter, Preliminary Amendment, and an Information Disclosure Statement and Form 1449 for the above-referenced application. We request that the attached documents be entered and made of record.

THE ATTACHED DOCUMENTS ARE TO BE MADE OF OFFICIAL RECORD

Total number of pages, including this cover sheet: 20

WARNING: This facsimile message and accompanying documents are intended only for the use of the addressee indicated above. Information that is privileged or otherwise confidential may be contained therein. If you are not the intended recipient, you are hereby notified that any dissemination, copying, review or use of the above message or the accompanying documents is strictly prohibited. If you have received this message in error, please notify us immediately by telephone or facsimile, and mail the original to us at the above address. Thank you.

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NO. 2923 P. 2

Atty Dkt No. 0235.06
USSN: 10/626,896
PATENT

CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being faxed to the United States Patent and Trademark Office at 1-703-872-9306 on the date indicated below and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nov. 6, 2003
Date

Barbara Nelson
Signature

Applicants: Timothy C. DUNN, et al.

Examiner:
C. Chin

Serial Number: 10/626,896

Filed: July 24, 2003

Group Art Unit:
1641

For: METHOD AND DEVICE FOR PREDICTING
PHYSIOLOGICAL VALUES

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is a Preliminary Amendment in the above patent application.

 Petition for Extension of Time enclosed.

 X No additional amendment fee is required.

 X Also enclosed:
Information Disclosure Statement and Form 1449;
Certificates of First Class Mail;
Return Receipt Postcard.

Atty Dkt No. 0235.06
 USSN: 10/626,896
 PATENT

No. of Claims After Amendment			Most Claims Previously Paid		Extra Claims			Additional Fee	
A. Total Claims	14	-	20	=	0	x	\$18	=	0.00
B. Ind. Claims	2	-3	0	=	0	x	\$84	=	0.00
C. If amended to contain multiple dependent claims, add 270							\$270	=	\$0.00
D. Total Amendment Fee (Total of A, B & C)								=	0.00
E. If small entity, 50% reduction of Total Amendment Fee (50% of D)								=	0.00
F. Total Amendment Fee (D minus E)								=	0.00

_____ A check for \$ 0 to cover the [extension of time fee and extra claims fee] is attached.

 X Charge \$0 to Deposit Account No. 03-4058.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 03-4058. However, this is not authorization to charge the Issue Fees.

Respectfully submitted,

Date: 6 Nov 2003

By: Gary R. Fabian
 Gary R. Fabian, Ph.D.
 Registration No. 33,875
 Agent for Applicant

Atty Dkt No.0235.06
USSN: 10/626,896
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<u>Nov. 6, 2003</u>	<u><i>Barbara Wilson</i></u>
Date	Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
In Re Application of: Dunn, T.C., et al.	
Serial No.: 10/626,896	Art Unit: 1641
Filing Date: 24 July 2003	Examiner: C. Chin
Title: METHOD AND DEVICE FOR PREDICTING PHYSIOLOGICAL VALUES	

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request the entry of the following preliminary amendment prior to examination of the above-identified patent application. No further fees are believed to be necessary, however, the Commissioner is hereby authorized to charge to Deposit Account No. 03-4058 any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, with the exception of the payment of the Issue Fee. Consideration of the application in view of the following amendments and remarks is respectfully requested.

Applicants submit that the present amendment conforms to the revised amendment format requirements. If there are any problems with the format of applicants' amendment, the Examiner is respectfully requested to contact the undersigned.